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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

: Confirmation No.: 8997

Schwartz et al.

: Group Art Unit: 1754

Serial No.: 09/929,870

: Examiner:

Not assigned

Filed: August 14, 2001

For:

SOLID STATE OXYGEN ANION AND

ELECTRON MEDIATING MEMBRANE AND CATALYTIC MEMBRANE REACTIONS

CONTAINING THEM

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Commissioner for

Patents, Washington, D.C. 20231

on 8/1/02

Express Mail Certificate Number

NOTIFICATION OF ERROR IN SMALL ENTITY STATUS AND PAYMENT OF DEFICIENCY OWED UNDER 37 C.F.R. 1.28

Commissioner for Patents Washington, D.C. 20231

Sir:

Status as a small entity in the above-referenced case was established in error without deceptive intent. Small entity status was claimed in error on the Patent Application Transmittal Letter at the time of filing. This application is a continuation/division of U.S. 09/748,344, which does not claim small entity status. It is believed that the subject matter of this application as its parent is subject to a license to a large entity. The error in entity status listed on the filing papers is believed due to a clerical error. Several fees were paid as those required for a small entity in error. This error occurred in good faith and without intent to deceive.

To comply with 37 C.F.R. 1.28(c)(1) and (2), the deficiency owed is itemized below:

The filing fee was erroneously paid as a small entity. The current basic fee amount for a large entity is \$740. The case contained a total of 31 claims with 11 claims in excess of 20, for a current fee of \$198. The surcharge is \$130. Total fees due as a large entity are \$1,068. The

small entity amount actually paid with the Transmittal of Missing Parts on October 12, 2001 was \$519. A deficiency amount of \$549 is due.

A check in the amount of \$549 is enclosed. If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to deposit account no. 07-1969.

Respectfully submitted,

Sally A. Sullivan Reg. No. 32,064

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lem: August 1, 2002